

Office of the Conflict of Interest and Ethics Commissioner

Commissariat aux conflits d'intérêts et à l'éthique

Survey of Public Office Holders Subject to the Conflict of Interest Act

2022

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#### Overview

#### **Background and purpose**

The purpose of the 2022 Survey of Public Office Holders was to gather **baseline metrics** and **general insights** into how the Office of the Conflict of Interest and Ethics Commissioner (OCIEC) can improve how it communicates and engages with public office holders subject to the *Conflict of Interest Act*.

#### **Research objectives**

To obtain baseline measures of:

- Knowledge of the OCIEC's mandate/purpose
- Interaction frequency with the OCIEC
- Perceived value of the OCIEC
- Perceived level of the OCIEC's trustworthiness
- Perceived level of the OCIEC's credibility
- Perceived level of the OCIEC's timeliness/proactivity
- Satisfaction with most recent OCIEC interaction

#### To obtain feedback regarding:

- Preferred OCIEC communication vehicles/channels
- General interest in receiving information from the OCIEC
- Preferred frequency of OCIEC communication
- Interest in OCIEC training opportunities
- Preferred OCIEC training format
- Preferred OCIEC training frequency
- Ways in which the OCIEC can improve to better serve its stakeholders

This survey research was designed to align with the OCIEC's 2021-2024 Strategic Plan, specifically, **Key Focus Area #1, Stakeholder Communications & Engagement**. The goal for this focus area is to "increase trust in, and credibility of the OCIEC with key stakeholders so that they become stronger allies in the delivery of the mandate of the OCIEC and can effectively manage conflict of interest issues."

#### Methodology

- The survey was developed, tested, and deployed on the NOVI survey platform.
- A total of 1,500 public office holders subject to the Act were invited to take the survey via an email sent directly to them from the Communications, Outreach and Planning division.<sup>1</sup>
- A follow-up reminder email was sent out a few days before the survey was closed.
- The survey was officially open for 11 days, from January 17 to January 28, 2022.
- There were 455 survey respondents resulting in a 30% response rate.
- The average survey completion time was 14 minutes.
- Respondents were able to complete the survey in the official language of their choice (82% opted for English and 18% for French).

#### Statistical significance

- For questions where everyone responded (n=455) it can be stated that the survey responses represent the views of all public office holders subject to the Act within ±3.8 percentage points 9.5 times out of 10.
- Only a small fraction of respondents skipped entire questions, usually under 10% (less than 45 respondents). In such cases, the survey responses represent the views of all public office holders subject to the Act within ±4.1 percentage points 9.5 times out of 10.
- The above does not apply to qualitative open-ended responses, follow-up questions to a specific sub-group, and any sections of this report that summarize findings based on a sub-group (e.g., by role). In such cases the sample size (n) and/or a revised margin of error has been provided for reference.

#### Note to readers

Key findings are presented in the sections that follow. An exact copy of the survey questions and survey instrument used has been provided in Appendix B.

<sup>&</sup>lt;sup>1</sup> Public office holders who are Members of the House of Commons and also subject to the *Conflict of Interest Code for Members of the House of Commons* were not included in the survey.

# **Key overall takeaways**

**Positive overall impression of the OCIEC**: Most respondents believe that the OCIEC is a credible (80%), impartial (76%), helpful (73%), and trustworthy (81%) organization.

**High familiarity with obligations under the Act**: The overwhelming majority of respondents (97%) stated they are familiar with their obligations under the Act.

High satisfaction with one-on-one service delivery: Most respondents (84%) that have recently interacted with an OCIEC employee (one-on-one) were satisfied with their experience and thought that the employee was courteous and helpful (91%), provided a timely and accurate response (89%), and provided them with the information they needed (86%).

**Strong appreciation of personalized service**: Most respondents stated that personal interactions are the top value-add of the OCIEC.

**Preference for online training**: When asked about preferred training methods, most respondents stated they prefer online training with a live presenter.

The top 3 preferred training topics are (in order):

- 1. Material changes (assets, activities, etc.)
- 2. Recusals (private interests)
- 3. Post-employment

# Respondent breakdown

Respondents were asked to indicate attributes about their roles for the OCIEC to better understand and analyze the data.

#### Time as public office holders

The majority of survey respondents (74%) indicated that they have been in their roles for less than five years.

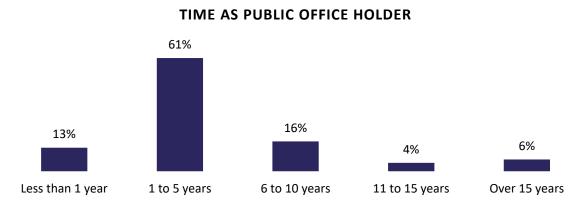


Figure 1 - Time as public office holder

#### Role as public office holders

The largest proportion of respondents (35%) were full-time tribunal/board/commission members. Approximately 6% of respondents did not place themselves in the role they belong to or did not feel they belong to any of the roles that were provided. Upon review of the comments, the OCIEC was able to categorize the "other" responses into their designated category and reflect them in the analysis.

#### **ROLE AS PUBLIC OFFICE HOLDER**

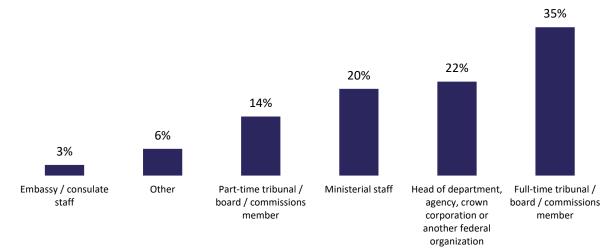


Figure 2 - Role as public office holder

### Familiarity with obligations under the Act

Most respondents (97%) were either somewhat familiar or very familiar with their obligations. Less than 1% stated that they were not at all familiar with their obligations.

# **General findings**

#### **Training**

#### **Training topics**

Most respondents (60%) indicated they are interested in training opportunities from the OCIEC. The training topics of greatest interest are as follows (in order)<sup>2</sup>:

- 1. Material change (assets, activities, etc.)
- 2. Recusals (private interests)
- 3. Post-employment
- 4. Gifts
- 5. Penalties
- 6. Annual reports
- 7. Investigation reports
- 8. Quarterly reports

Respondents were also given an opportunity to state other training topics they would like to see that were not listed in the survey question. After categorizing these open-ended responses, it was determined that most of the specific topics mentioned could effectively be placed into one of the existing top three categories. The only new topics mentioned included cryptocurrency and advice for governor-in-council appointees (only a handful of individuals).

#### Additional Context

Material Change – The OCIEC website defines material change as "a change to any matter that you were required to include in your Confidential Report and that could affect your obligations under the Act and make it necessary to modify your compliance arrangements." Examples of material change are acquiring assets valued at \$10,000 or more, becoming a trustee or a beneficiary of a trust, or opening any type of investment account, among others.

<sup>&</sup>lt;sup>2</sup> Survey respondents were given eight options of topics about which they might receive training. They were asked to rank these topics from 1 to 8, 1 being the topic most interesting to them, and 8 being the least. To make sense of these results, we gathered up all the responses and then generated averages for each item. Consistent with the ranking system, the averages closest to 1 were considered the most interesting topics to respondents, and the closest to 8 were the least interesting.

**Recusals** – The *Conflict of Interest Act* requires public office holders to recuse themselves from any discussion, decision, debate or vote on any matter in respect of which they would be in a conflict of interest. Respondents might want to know how to determine if a matter presents a conflict of interest, how to properly document recusals, and how to calculate the scope of discussions from which they must recuse themselves.

**Post-employment** – The *Conflict of Interest Act* has several provisions relating to post-employment, some limited and some unlimited. For instance, depending on public office holders' former positions, they must wait one or two years before working with a party with which they had "direct and significant dealings" during their time in office. This is commonly known as the "cooling-off" period. This would be a limited provision. However, there are also the following three rules, which apply for life to all former public office holders. They cannot:

- take improper advantage of their previous public office;
- switch sides, meaning act for or on behalf of any person or organization in relation to a specific proceeding, transaction, negotiation or case in which they previously acted for or provided advice to the government;
- provide advice to a client, business associate or employer using information they obtained while in office that is not available to the public.

**Gifts** – Relates to the following provision in the *Conflict of Interest Act*: "[Public office holders] must also disclose any gifts or other advantages from any one source, other than relatives and friends, that exceed \$200 in value in a 12-month period, within 30 days after acceptance or of the day on which their total value exceeds \$200." Gifts can take many forms, for instance meals, tickets to events, reduced rate or free membership to clubs or organizations, and money, among other things.

**Penalties** – Refers to the punishments that public office holders might receive for violating the rules set out in the *Conflict of Interest Act*. These penalties can go up to \$500 and are published both in the public registry on the OCIEC website and subsequently on Twitter.

Annual reports, investigation reports, and quarterly reports – All of these topics relate to the OCIEC's main communications products. Annual and quarterly reports are published periodically to update the public on the OCIEC's activities. Investigation reports are published after an investigation is completed.

#### Training methods

Most respondents (61%) prefer to receive conflict-of-interest-related information proactively rather than searching for it on their own.

The preferred methods of training were stated to be as follows (in order)<sup>3</sup>:

- 1. Online educational sessions on a specific topic with live presenters
- 2. Customized educational group sessions for their organization
- 3. One-on-one personalized training
- 4. Self-study with OCIEC-provided materials
- 5. Online Q&A

#### Training frequency

Respondents were almost equally divided between preferring to receive training quarterly (31%), annually (32%), or when topical (32%). Very few said monthly (3%) or never (2%).

#### **Communications**

Perceived tone of OCIEC mass communication methods

When asked about the tone of OCIEC mass communications, 82% of respondents agreed that the tone was clear, 89% agreed that it was professional and 88% agreed that it was respectful.

Preferred method of OCIEC mass communication

Respondents were asked to rate the existing methods of OCIEC mass communications based on their helpfulness. The percentage in the table below reflects the number of respondents who stated the method in question was either **somewhat**, **very**, **or extremely helpful**.

<sup>&</sup>lt;sup>3</sup> Survey respondents were given five options of topics about which they might receive training. They were asked to rank these methods from 1 to 5, 1 being the method most preferred, and 5 being the least. To make sense of these results, we gathered up all the responses and then generated averages for each item. Consistent with the ranking system, the averages closest to 1 were considered the most interesting topics to respondents, and the closest to 5 were the least interesting.

#### PREFERRED MASS COMMUNICATION METHODS

(RANKED BY % WHO AGREE THEY ARE HELPFUL)

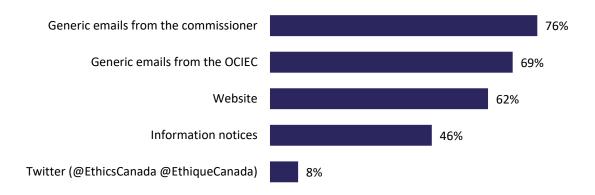


Figure 3 - Most helpful methods of mass communications

As can be seen, generic emails from the Commissioner came out on top (76%), whereas using Twitter as a method of OCIEC mass communications was only helpful to about 8% of respondents (21% stated it was not helpful). It should be noted that 71% stated it does not apply to them as they do not use Twitter.<sup>4</sup>

Preferred method of OCIEC private (one-on-one communications methods)

Respondents were asked how helpful they found the OCIEC's one-on-one methods of communications. Currently, the OCIEC interacts with public office holders via email or by phone. In-person meetings are infrequent, even during pre-pandemic times. Emails and phone calls are the preferred methods of communications. Over 80% of respondents ranked video conferences and in-person meetings as not applicable.

#### PREFERRED PRIVATE COMMUNICATION METHODS

(RANKED BY % WHO AGREE THEY ARE HELPFUL)

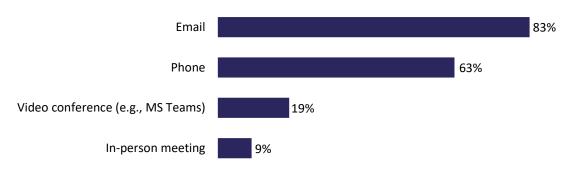


Figure 4 - Preferred private (one-on-one) communications methods

<sup>&</sup>lt;sup>4</sup> Roughly 30% of the respondents indicated that they rely on Twitter as a source of information about the conflict-of-interest regimes administered by the OCIEC. This is in line with statistics on Canadians' reliance on Twitter as a news source.

#### Additional suggested communications methods

Respondents were also asked to comment on other forms of mass and private communication that they would like to see adopted. Only about 5% responded (n=22). The suggested additional methods included text messaging (for its speed and brevity) and LinkedIn.

#### **Perceptions of the OCIEC**

#### General opinion of the OCIEC

Respondents were asked whether they agree with the statement: "My general opinion of OCIEC is positive." 77% of respondents strongly or somewhat agreed and 13% of respondents did not agree or disagree with that statement. The remaining 10% somewhat or strongly disagreed.

GENERAL OPINION OF THE OCIEC

# 13% 4% 6% Strongly disagree Somewhat disagree Alimonth 41% 6% Somewhat agree Strongly agree Somewhat agree Strongly agree Not answered

Figure 5 - General opinion of the OCIEC

#### Perception differences (by role)

In the table below, results have been reflected by role to better illustrate how the respondent's role might affect their perception of the OCIEC. For example, part-time members do not have reporting obligations and therefore do not interact as frequently with the OCIEC, which may affect how they perceive it.

In this question, respondents were presented with several statements about the OCIEC and asked to indicate how strongly they agreed or disagreed. The percentages in the table below represent those who answered "strongly agree" or "somewhat agree." 5

<sup>&</sup>lt;sup>5</sup> Between 5% and 25% of the respondents answered "Neither agree nor disagree."

	OCIEC is credible	OCIEC is trustworthy	OCIEC is proactive	OCIEC is impartial	OCIEC is helpful
All respondents	80%	71%	67%	76%	73%
Ministerial staff	76%	78%	68%	71%	74%
Heads of departments	85%	85%	70%	82%	72%
Full-time members	79%	80%	66%	75%	72%
Part-time members	84%	84%	68%	81%	76%
Embassy/consulate staff	55%	64%	36%	50%	55%

Table 6 - Perceptions of the OCIEC based on respondents' profiles

While the overall perception of the OCIEC is high, the heads of departments as well as part-time members had the highest agreement level with most attributes, specifically, credibility, trustworthiness, and impartiality. The lowest scores came from embassy/consulate staff; however, the sample size from this group was extremely small.

The overall agreement level with the OCIEC being a proactive organization came in at 67%. This was the lowest score out of the provided attributes.

#### Satisfaction with one-on-one service

Respondents were also asked to think back on their most recent one-on-one interaction with an employee of the OCIEC and indicate how strongly they agreed or disagreed with the provided statements.

Once again, the sentiment tended to be quite positive, with those that agree with each statement seldom falling under 85%. Positive responses tended to once again be weaker among embassy/consulate staff, very likely due to their relative underrepresentation in the survey. Only 3% of respondents were embassy/consulate staff (n=11).

	OCIEC employee was courteous and helpful	OCIEC employee provided me with accurate information	OCIEC employee provided me with a timely response	OCIEC employee gave me the information that I needed	Overall, I was satisfied with my experience
All respondents	91%	89%	89%	86%	84%
Ministerial staff	90%	88%	85%	83%	81%
Heads of departments	91%	92%	90%	90%	86%
Full-time members	92%	87%	91%	88%	86%
Part-time members	85%	85%	92%	77%	85%
Embassy/consulate staff	80%	80%	80%	60%	60%

Table 7 - Perception of most recent one-on-one interaction based on respondents' profiles

Out of the 10% of people that stated they were dissatisfied with their experience, the top complaint was with the service quality, with some respondents saying that emails or phone calls had gone unanswered and others mentioning insufficient or inconsistent advice. Others, still, had complaints about the rules the OCIEC enforces. As one commenter said: "I was satisfied in that the employee answered my question and was obviously doing her job. I wasn't satisfied with what the law apparently required her to tell me... to complete a 19-page form and provide information that I just confirmed three months ago, because of inflexibility in the legislation."

The OCIEC is looking into the concerns these comments reveal to try and address them as best we can.

#### Greatest value-add

Respondents were asked to answer an open-ended question as follows: "Which service or program offered by the OCIEC has the greatest value-add in terms of supporting you in meeting your compliance requirements?"

Upon categorizing the 173 responses received, personal interaction with an advisor came out as the greatest value-add of the OCIEC. Respondents appreciated the quick and clear advice they received that related specifically to their situations. The second most cited value-add was the training the OCIEC provides followed by the OCIEC's annual update notices.

The results of this question allow us to understand what we are doing right and gives us ideas for how to adjust our strategy in areas with lower rates of satisfaction.

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# Appendix A – Survey results

1. How familiar are you with your obligations under the *Conflict of Interest Act*?

Question type: Multiple choice Number of responses: 429 Number of respondents: 455

Answer	Count	Percent answer
Very familiar	214	47.0%
Somewhat familiar	202	44.4%
Not very familiar	11	2.4%
Not at all familiar	2	0.4%
The <i>Conflict of Interest Act</i> does not apply to me	0	0%
Not answered	26	5.7%

2. Please indicate the extent to which you agree or disagree with each of the following statements about the Office of the Conflict of Interest and Ethics Commissioner (OCIEC):

Question type: Matrix & Multimatrix

Number of responses: 450 Number of respondents: 455

Matrix row	Not answered	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
I am well aware of OCIEC's mandate	7 (1.5%)	13 (2.9%)	15 (3.3%)	23 (5.1%)	217 (47.7%)	180 (39.6%)
My general opinion of OCIEC is positive	6 (1.3%)	19 (4.2%)	25 (5.5%)	60 (13.2%)	163 (35.8%)	182 (40.0%)
The OCIEC is a credible organization	9 (2.0%)	15 (3.3%)	15 (3.3%)	61 (13.4%)	133 (29.2%)	222 (48.8%)
The OCIEC is a trustworthy organization	6 (1.3%)	16 (3.5%)	11 (2.4%)	59 (13.0%)	139 (30.5%)	224 (49.2%)
The OCIEC is a proactive organization	5 (1.1%)	14 (3.1%)	23 (5.1%)	112 (24.6%)	168 (36.9%)	133 (29.2%)
The OCIEC is an impartial organization	7 (1.5%)	16 (3.5%)	19 (4.2%)	71 (15.6%)	119 (26.2%)	223 (49.0%)
The OCIEC is a helpful organization	7 (1.5%)	22 (4.8%)	22 (4.8%)	78 (17.1%)	153 (33.6%)	173 (38.0%)
I feel comfortable approaching the OCIEC should the need arise	10 (2.2%)	17 (3.7%)	15 (3.3%)	47 (10.3%)	121 (26.6%)	245 (53.8%)

3. Thinking of the past 12 months, approximately how many times did you privately interact (one-on-one) with someone from the OCIEC?

Question type: Open-ended Number of responses: 446 Number of respondents: 455

Answer	Not answered	Answered	Mean	Std dev	25% quartile	Median	75% quartile
	9 (2.0%)	446.0	2.5	3.4	0.0	2.0	3.0

4. Thinking of your most recent private interaction (one-on-one) with the OCIEC, indicate the extent to which you agree or disagree with each of the following statements.

Question type: Matrix & Multimatrix

Number of responses: 448 Number of "not applicable": 114 Number of respondents: 455

Matrix row	Not answered	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
The OCIEC employee I interacted with was courteous and helpful	8 (2.3%)	13 (3.8%)	8 (2.3%)	9 (2.6%)	48 (14.1%)	255 (74.8%)
The OCIEC employee I interacted with provided me with accurate information	8 (2.3%)	13 (3.8%)	6 (1.8%)	19 (5.6%)	53 (15.5%)	242 (71.0%)
The OCIEC employee I interacted with provided me with a timely response	9 (2.6%)	14 (4.1%)	12 (3.5%)	12 (3.5%)	66 (19.4%)	228 (66.9%)
I was given the information I needed	9 (2.6%)	11 (3.2%)	17 (5.0%)	18 (5.3%)	49 (14.4%)	237 (69.5%)
Overall, I was satisfied with my experience	13 (3.8%)	18 (5.3%)	15 (4.4%)	19 (5.6%)	54 (15.8%)	222 (65.1%)

#### 5. How helpful or unhelpful do you find the following OCIEC mass communication methods?

Question type: Matrix & Multimatrix

Number of responses: 435 Number of respondents: 455

Scale: 1 (Not at all helpful) – 5 (Extremely helpful); 0: NA

Matrix row	Not answered	Mean	Std dev	Count and % Rating 1	Count and % Rating 2	Count and % Rating 3	Count and % Rating 4	Count and % Rating 5	NA option
Website (ciec-ccie.parl.gc.ca)	158 (34.7%)	3.6	0.9	11 (2.5%)	20 (4.6%)	94 (21.8%)	128 (29.6%)	44 (10.2%)	135 (31.3%)
Generic emails from Commissioner Dion	83 (18.2%)	3.6	1.0	10 (2.3%)	32 (7.4%)	122 (28.2%)	143 (33.1%)	65 (15.0%)	60 (13.9%)
Generic emails from OCIEC (not specifically from the Commissioner)	108 (23.7%)	3.5	1.0	11 (2.5%)	37 (8.6%)	118 (27.3%)	128 (29.6%)	53 (12.3%)	85 (19.7%)
Twitter (@EthicsCanada   @EthiqueCanada)	332 (73.0%)	1.9	1.2	63 (14.8%)	26 (6.1%)	18 (4.2%)	10 (2.3%)	6 (1.4%)	304 (71.2%)
Information notices (often in PDF or HTML format)	217 (47.7%)	3.4	1.1	15 (3.5%)	24 (5.5%)	76 (17.6%)	89 (20.6%)	34 (7.9%)	195 (45.0%)

# 6. Are there any mass communication methods currently not being used that you would like OCIEC to adopt going forward?

Question type: Multiple choice Number of responses: 441 Number of respondents: 455

Answer	Count	Percentage of answers
Yes	24	5.3%
No	416	91.4%
Not answered	15	3.3%

7. Please indicate the extent to which you agree or disagree with each of the following statements about the overall tone of OCIEC mass communication:

Question type: Matrix & Multimatrix

Number of responses: 450 Number of "not applicable": 45 Number of respondents: 455

Matrix row	Not answered	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
The overall tone used in OCIEC mass communication is clear	5 (1.2%)	4 (1.0%)	15 (3.7%)	55 (13.4%)	172 (42.0%)	159 (38.8%)
The overall tone used in OCIEC mass communication is professional	8 (2.0%)	6 (1.5%)	3 (0.7%)	36 (8.8%)	124 (30.2%)	233 (56.8%)
The overall tone used in OCIEC mass communication is respectful	15 (3.7%)	6 (1.5%)	9 (2.2%)	32 (7.8%)	123 (30.0%)	225 (54.9%)

8. How helpful or unhelpful do you find the following official OCIEC private (one-on-one) communication methods?

Question type: Matrix & Multimatrix

Number of responses: 445 Number of respondents: 455

Scale: 1 (Not at all helpful) - 5 (Extremely helpful); 0: NA

Matrix row	Not answered	Mean	Std dev	Count and % Rating 1	Count and % Rating 2	Count and % Rating 3	Count and % Rating 4	Count and % Rating 5	NA option
Email	74 (16.3%)	4.4	0.8	3 (0.7%)	8 (1.8%)	31 (7.0%)	117 (26.4%)	222 (50.0%)	63 (14.2%)
Phone	167 (36.7%)	4.4	0.8	4 (0.9%)	4 (0.9%)	25 (5.7%)	81 (18.4%)	174 (39.5%)	153 (34.7%)
Videoconference (e.g. MS Teams)	366 (80.4%)	4.0	1.0	3 (0.7%)	3 (0.7%)	20 (4.5%)	30 (6.8%)	33 (7.5%)	351 (79.8%)
In-person meeting	401 (88.1%)	3.5	1.3	3 (0.7%)	11 (2.5%)	11 (2.5%)	13 (3.0%)	16 (3.7%)	384 (87.7%)

9. Please indicate the extent to which you agree or disagree with each of the following statements:

Question type: Matrix & Multimatrix

Number of responses: 448 Number of respondents: 455

Matrix row	Not answered	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
I prefer to find conflict of interest-related information on my own, when I need it, rather than having it sent to me proactively	8 (1.8%)	118 (25.9%)	153 (33.6%)	103 (22.6%)	55 (12.1%)	18 (4.0%)
I am interested in educational opportunities offered by the OCIEC	13 (2.9%)	16 (3.5%)	35 (7.7%)	126 (27.7%)	163 (35.8%)	102 (22.4%)

10. Please rank the following OCIEC-related topics in order of importance - 1 being the most important to you and 8 being the least important.

Question type: Rating & Ranking Number of responses: 455 Number of "not applicable": 44 Number of respondents: 455

Scale: 1 – 8; 0: NA

Answer	Mean	Std dev	Count and % Rating 0	Count and % Rating 1	Count and % Rating 2	Count and % Rating 3	Count and % Rating 4	Count and % Rating 5	Count and % Rating 6	Count and % Rating 7	Count and % Rating 8
Gifts	4.0	2.4	26 (6.3%)	35 (8.5%)	59 (14.4%)	70 (17.0%)	59 (14.4%)	52 (12.7%)	28 (6.8%)	20 (4.9%)	62 (15.1%)
Material change (assets, activities,)	2.4	1.8	18 (4.4%)	147 (35.8%)	99 (24.1%)	53 (12.9%)	38 (9.2%)	20 (4.9%)	19 (4.6%)	14 (3.4%)	3 (0.7%)
Recusals (private interests)	2.8	1.8	25 (6.1%)	77 (18.7%)	105 (25.5%)	72 (17.5%)	60 (14.6%)	31 (7.5%)	21 (5.1%)	15 (3.6%)	5 (1.2%)
Penalties	4.6	2.3	36 (8.8%)	9 (2.2%)	28 (6.8%)	36 (8.8%)	62 (15.1%)	96 (23.4%)	52 (12.7%)	44 (10.7%)	48 (11.7%)
Post-employment	3.3	2.0	18 (4.4%)	71 (17.3%)	59 (14.4%)	89 (21.7%)	73 (17.8%)	45 (10.9%)	22 (5.4%)	12 (2.9%)	22 (5.4%)
Investigation reports	4.8	2.2	35 (8.5%)	11 (2.7%)	14 (3.4%)	34 (8.3%)	47 (11.4%)	57 (13.9%)	138 (33.6%)	49 (11.9%)	26 (6.3%)
Annual reports	4.9	2.5	32 (7.8%)	43 (10.5%)	17 (4.1%)	28 (6.8%)	25 (6.1%)	48 (11.7%)	46 (11.2%)	145 (35.3%)	27 (6.6%)
Quarterly reports	6.1	2.5	37 (9.0%)	5 (1.2%)	13 (3.2%)	8 (1.9%)	19 (4.6%)	26 (6.3%)	48 (11.7%)	75 (18.2%)	180 (43.8%)

11. Are there any other topics that you would like the OCIEC to provide more information and/or training on?

Question type: Multiple choice Number of responses: 441 Number of respondents: 455

Answer	Count	Percentage of answers
Yes	52	11.4%
No	387	85.1%
Not answered	16	3.5%

12. Please rank the following OCIEC training delivery formats in order of preference - 1 being the most preferred and 5 being the least preferred

Question type: Rating & Ranking Number of responses: 455 Number of "not applicable": 62 Number of respondents: 455

Scale: 1 - 5; 0: NA

Answer	Mean	Std dev	Count and % Rating 0	Count and % Rating 1	Count and % Rating 2	Count and % Rating 3	Count and % Rating 4	Count and % Rating 5
Online educational session on a specific topic with live presenters	2.0	1.4	30 (7.6%)	147 (37.4%)	86 (21.9%)	64 (16.3%)	40 (10.2%)	26 (6.6%)
Self-study with OCIEC-provided materials	2.9	1.6	29 (7.4%)	68 (17.3%)	71 (18.1%)	54 (13.7%)	82 (20.9%)	89 (22.6%)
One-on-one personalized training	3.2	1.7	33 (8.4%)	56 (14.2%)	44 (11.2%)	55 (14.0%)	83 (21.1%)	122 (31.0%)
Customized educational group session for your organization	2.6	1.5	32 (8.1%)	72 (18.3%)	92 (23.4%)	82 (20.9%)	57 (14.5%)	58 (14.8%)
Questions and answers online session	3.0	1.4	34 (8.7%)	29 (7.4%)	70 (17.8%)	106 (27.0%)	95 (24.2%)	59 (15.0%)

13. How often should the OCIEC be offering live educational opportunities?

Question type: Multiple choice Number of responses: 440 Number of respondents: 455

Answer	Count	Percentage of answers
Never	7	1.5%
Annually	138	30.3%
Quarterly	135	29.7%
Monthly	14	3.1%
When topical	140	30.8%
Other	6	1.3%
Not answered	15	3.3%

14. Which service or program offered by the OCIEC has the greatest added value in terms of supporting you in meeting your compliance requirements?

Question type: Open-ended Number of responses: 242 Number of respondents: 455

Not answered: 213

# 15. Which role best describes you as a public office holder?

Question type: Multiple choice Number of responses: 447 Number of respondents: 455

Answer	Count	Percentage of answers
Head of Department, Agency, Crown Corporation or another federal organization	99	21.8%
Ministerial Staff	91	20.0%
Full-time Tribunal/Board/Commissions Member	156	34.3%
Part-time Tribunal/Board/Commissions Member	62	13.6%
Embassy/Consulate Staff	11	2.4%
Other	28	6.2%
Not answered	8	1.8%

# 16. Approximately how long have you been a public office holder?

Question type: Multiple choice Number of responses: 446 Number of "not applicable": 2 Number of respondents: 455

Answer	Count	Percentage of answers
Less than 1 year	56	12.4%
1 to 5 years	269	59.4%
6 to 10 years	71	15.7%
11 to 15 years	20	4.4%
Over 15 years	28	6.2%
Not answered	9	2.0%

17. Do you have any additional suggestions or comments for the OCIEC that could help us better serve you?

Question type: Open-ended Number of responses: 124 Number of respondents: 455

Not answered: 331

# Appendix B – Survey questions

#### **OCIEC Stakeholder Survey 2022**

Thank you for taking the time to complete this survey from the Office of the Conflict of Interest and Ethics Commissioner (OCIEC). A part of the Office's wider external engagement plans, this survey will give us valuable insight into how to better communicate with and meet the needs of public office holders, like yourself, whom we oversee. It should take you about ten minutes to complete, and your answers will remain completely confidential. You can skip questions or end the survey at any point.

#### **OCIEC Stakeholder Survey 2022**

1. How familiar are you with your obligations under the Conflict of Interest Act?

C Not very familiar					
C Not at all familiar					
C The Conflict of Interest Act does not ap	ply to me				
Please indicate the extent to which you ag Office of the Conflict of Interest and Ethics			of the following st	atements ab	out the
	Strongly	Somewhat	Neither	Somewhat	Strongl
	disagree	disagree	agree/disagree	agree	agree
I am well aware of OCIEC's mandate	C	0	c	C	C
My general opinion of OCIEC is positive	С	С	С	C	С
The OCIEC is a credible organization	0	0	0	0	0
The OCIEC is a trustworthy organization	C	C	C	C	C
The OCIEC is a proactive organization	0	С	С	0	0
The OCIEC is an impartial organization	0	r	r	C	C
The OCIEC is a helpful organization	0	0	0	0	0

3.	Thinking of the past 12 months, approximately how many times did you privately interact (one-on-one) with	n
	someone from the OCIEC?	

Responses must be between 0 and 100, inclusive

I feel comfortable approaching the OCIEC should the need arise

4. Thinking of your most recent private interaction (one-on-one) with the OCIEC, indicate the extent to which you agree or disagree with each of the following statements.

Not applicable

C Very familiar

	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
The OCIEC employee I interacted with was courteous and helpful	C	C	C	С	C
The OCIEC employee I interacted with provided me with accurate information	C	0	C	C	С
The OCIEC employee I interacted with provided me with a timely response	0	0	0	С	c
I was given the information I needed	0	С	C	С	0
Overall, I was satisfied with my experience	С	С	c	С	C

Do you have any other comments abo	out your most recent private interaction (one-on-one) with the OCIEC?
	0 / 4000

5. How helpful or unhelpful do you find the following OCIEC mass communication methods?

	Not a helpf		omewh helpful			
	1	2	3	4	5	I do not recall coming across this content
Website (ciec-ccie.parl.gc.ca)	0	0	0	0	0	0
Generic emails from Commissioner Dion	0	0	0	0	0	C
Generic emails from OCIEC (not specifically from the Commissioner)	c	c	c	c	c	c
Twitter (@EthicsCanada   @EthiqueCanada)	0	0	0	С	0	0
Information notices (often in PDF or HTML format)	0	0	0	0	0	C

						1
			_			
6.	6. Are there any mass communication methods currently going forward?	not b	eing us	ed that	you wo	ould like OCIEC to adopt
	E.g. Other social media platforms, text messages, instan	t mes	sengers	, etc.		
	○ Yes					
	C No					
	If you replied "yes", please specify your preferred met	thod(s	)			

7. Please indicate the extent to which you agree or disagree with each of the following statements about the overall tone of OCIEC mass communication:

0 / 4000

#### Not applicable

	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
The overall tone used in OCIEC mass communication is clear	0	0	0	О	0
The overall tone used in OCIEC mass communication is professional	С	С	С	С	С
The overall tone used in OCIEC mass communication is respectful	0	0	О	С	0

How helpful or unhelpful do you find the following official OCIEC private (one-on-one) communication methods?

metrious:						
	Not at all he	elpful So	Somewhat helpful Extremely helpful			
	1	2	3	4	5	I have not yet interacted privately (one-on-one) with the OCIEC using this method
Email	0	0	0	0	0	0
Phone	0	0	0	0	С	0
Videoconference (e.g. MS Teams)	0	С	С	C	C	c
In-person meeting	0	0	0	0	0	0

Do you have any other comments about these private (one-on-one) communication methods that you would like to share?

0 / 4000

9. Please indicate the extent to which you agree or disagree with each of the following statements:

	Strongly disagree	Somewhat disagree	Neither agree/disagree	Somewhat agree	Strongly agree
I prefer to find conflict of interest related information on my own, when I need it, rather than having it sent to me proactively	0	С	С	C	C
I am interested in educational opportunities offered by the OCIEC	0	C	С	С	0

10.	Please rank the following OCIEC related topics in order of importance – 1 being the most important to you
	and 8 being the least important.  Not applicable
	Responses must be between 1 and 8, inclusive
	Gifts
	Material change (assets, activities,)
	Recusals (private interests)
	Penalties
	Post-employment
	Investigation reports
	Annual reports
	Quarterly reports
	qualitity reports
11	Are there any other topics that you would like the OCIEC to provide more information and/or training on?
	C Yes
	C No
	If you replied "yes," please specify the topic(s).
	0 / 4000
12.	Please rank the following OCIEC training delivery formats in order of preference - 1 being the most preferred and 5 being the least preferred
	☐ Not applicable
	Responses must be between 1 and 5, inclusive
	Online educational session on a specific topic with live presenters
	Self-study with OCIEC-provided materials
	One-on-one personalized training
	Customized educational group session for your organization
	Questions and answers online session
	Are there other training delivery formats that you would like us to use?
	0 / 4000
13.	How often should the OCIEC be offering live educational opportunities?
	C Never
	Cannually
	C Quarterly C Monthly
	C When topical
	COther
14.	Which service or program offered by the OCIEC has the greatest added value in terms of supporting you in
	meeting your compliance requirements?  Please only state the top item that comes to mind
	, 1000 517 5000 110 507 100 100 100 100 100 100 100 100 100 1
15	
15.	Which role best describes you as a public office holder?
15.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization
15.	Which role best describes you as a public office holder?
15.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff
15.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member
15.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member
15.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff
	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?
	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?  Not applicable
	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?
16.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?  Not applicable
16.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?  Not applicable
16.	Which role best describes you as a public office holder?  C Head of Department, Agency, Crown Corporation or another federal organization  Ministerial Staff  Full-time Tribunal/Board/Commissions Member  Part-time Tribunal/Board/Commissions Member  Embassy/Consulate Staff  Other  Approximately how long have you been a public office holder?  Not applicable